



Audit Statement

Company	Cloudiax AG
Street	Groehndalscher Weg 87
City	46446 Emmerich am Rhein
Date	23.03.2019

Based on Art. 32 1/d GDPR , Security of processing ‘ I, Peter Grätz, have executed an audit on the below mentioned topics to verify any shortcomings concerning processes, tools and behaviour of employees in the focus of data protection and security as well as give guidance on the implementation of appropriate measures to identify and mitigate any risks related to the processing of personal data.

Management Summary:

CEO	Dirk Cyrener, Cloudiax AG
CTO	Hartmut Volkmar, Cloudiax AG
Auditor	Peter Grätz, ext. cert. GDPR Expert
Result	<p>The 2018 audit issues have been largely addressed;</p> <p>There were minor issues found in this audit and I recognised a substantial effort has been taken to increase security and mitigate loss of data; A detailed report has been provided previously to this statement to the management that provides relevant information concerning any findings. For a brief evaluation, please see my remarks below.</p> <p>The audit statement is: passed.</p>

Extract of GDPR §32:

The likelihood and severity of the risk to the rights and freedoms of the data subject should be determined by reference to the nature, scope, context and purposes of the processing. Risk should be evaluated on the basis of an objective assessment and implement appropriate technical and organisational measures as appropriate to ...

- the pseudonymisation and encryption of personal data
- the ability to ensure the ongoing confidentiality, integrity, availability and resilience of processing systems and services
- the ability to restore the availability and access to personal data in a timely manner in the event of a physical or technical incident
- a process for regularly testing, assessing and evaluating the effectiveness of technical and organisational measures for ensuring the security of the processing

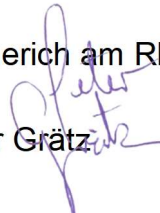
based on Cloudiax AG, „Technical and organisational measures ‘, in the current version 6, I have conducted by the methode of ‘on-site’ inspection, interviews of coordinator and employees, checking of relevant documents an audit on the current technical and organisational operation. The documentation of Cloudiax AG has been provided by chapters 1 thru 6 which are based on the given structure of GDPR §32 (see above).

TOM Art. 32 GDPR	chapter	evaluation & remarks
Admission Control Data Centre	1.1 – 1.2	Passed; ISO27001 cert. evaluated, up-to-date
Admission Control Business Centre	1.3	Passed; needs re-evaluation after relocation
Access Control PCs & Servers	1.4	Passed; open issues have been addressed
Segregation	1.5	Passed;



Pseudonymisation	1.6	Passed;
Integration control	2.1 & 2.2	Passed; improved backup implemented
Availability control	3.1	Passed; ISO27001 planned
Data Protection Management System	4.1	Passed; up-to-date
Incident-Response Management	4.2	Passed; some focus on process description in 2019
Pre-condition control	4.4	Passed;
Operations Control - outsourcing	4.4	Passed:
Documentation & Revision	6	Passed;

I hereby witness that the operation and applications provide todays state of the art security for the purpose of processing personal data as well as the intention of the management to do there outmost to implement appropriate technical and organisational measures to ensure a level of security to mitigate any risk to data loss or manipulation.

Emmerich am Rhein, 23.03.2019

Peter Grätz

